

### Your Guide to Publishing Open Access with Elsevier



### What is open access?

The term open access was first used in 2001 when the Open Society Institute established what is known as the Budapest Open Access Initiative (BOAI). Their goal was to create a set of recommendations, which were designed to provide the public with unrestricted, free access to scholarly research. Since then, the term open access has been defined by different groups in different ways.

In general, open access stands for the free and permanent access to published research, combined with clear guidelines for readers to share and use the content. There are two main types of open access: gold and green.

	GOLD OPEN ACCESS	GREEN OPEN ACCESS	
Access	<ul> <li>Free public access to the final published article.</li> <li>Access is immediate and permanent.</li> </ul>	<ul> <li>Free public access to a version of your article.</li> <li>Time delay may apply (embargo period).</li> </ul>	
Fee	• Open access fee is paid by the author, or on their behalf (for example by a funding body).	• No fee is payable by the author, as costs are covered by library subscriptions.	
Use	• Determined by your user license.	• Authors retain the right to use their articles for a wide range of purposes. All open versions of your article should have a user license attached.	
Options	<ol> <li>Publish in an open access journal.</li> <li>Publish in a journal that supports open access (also known as a hybrid journal).</li> </ol>	<ol> <li>Link to your article.</li> <li>For selected journals Elsevier makes the articles freely available after an embargo period in the open archives.</li> <li>Self-archive your manuscript.</li> </ol>	

#### WHAT IS THE DIFFERENCE BETWEEN GOLD AND GREEN?

# Publishing gold open access with Elsevier

#### WHAT IS AN OPEN ACCESS ARTICLE?

Publishing your research as an open access article with Elsevier will mean that it:

- is peer-reviewed.
- is immediately free to access online upon publication.
- can be shared and used by readers as defined by your user license.
- has an open access fee paid by you, or on your behalf (for example by your institution or funding body).

#### TYPES OF JOURNALS WHICH OFFER GOLD OPEN ACCESS

#### 1) Open access journals

These are journals that publish all their articles open access. They do not have any subscribers, and instead everyone can read all the content online for free. Elsevier publishes over 120 peer-reviewed, open access journals, including *Cell Reports* and *The Lancet Global Health*.

#### 2) Hybrid journals

These are journals that support open access and are basically two journals in one: a subscription journal and an open access journal. After acceptance you can choose how you wish to publish your article. This allows you to continue to publish in important journals in your field, while also deciding how you want to broadcast your research. These journals provide an alternative way for authors to comply with open access policies of their institution and/ or funding body. Elsevier offers an open access option in over 1600 established subscription journals that support open access publishing.

#### 3) Journals that change to open access

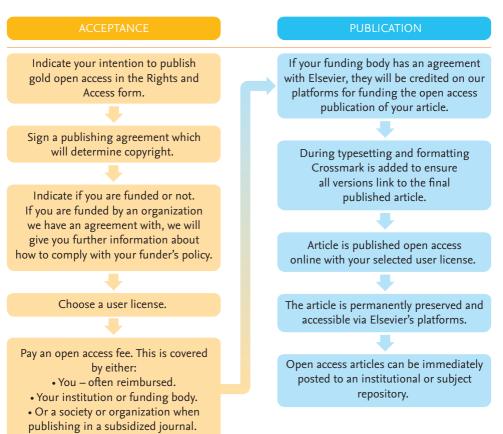
Some established journals in your field may change into an open access journal. After the change, the journal will no longer have subscribers and instead an open access fee is payable by the author or funding body on their behalf. Previously published articles will remain accessible by subscription, with newly published articles being available as gold open access.

#### 4) Subsidized journals

These are open access journal which enjoy the full support of the affiliated organization or society who provide funds to cover all the costs of publication, including the open access for all authors. Therefore the journal is both free to read and free to publish in for everyone. Elsevier publishes a number of these journals on behalf or societies and organizations. We recommend that you always check the Guide for Authors for information about your journal's open access policy.

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#### PRACTICAL STEPS TO PUBLISHING GOLD OPEN ACCESS WITH ELSEVIER



## Understanding the legal fine print

For gold open access publishing you need two types of licenses:

#### 1. COPYRIGHT

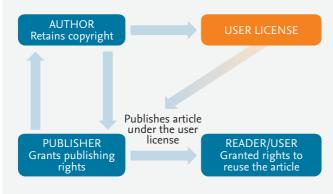
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- The right to use your own article in the same way as readers defined by your selection of user license (see page 6).

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- The right to publish your article with your selected user license on our online platforms.
- The right to enforce your user license, on your behalf, against third parties in the case of plagiarism, ethical disputes and fraudulent use.



#### What is the license process?

**Step 1:** Authors sign a publishing agreement where they will retain copyright but grant publishing rights to the publisher.

**Step 2:** Readers can use and share the article as defined by the user license.

**Step 3:** The author grants the publisher the right to publish the article under the applicable license.

**Step 4:** The publisher makes the article available online with the author's user license.

#### 2. USER LICENSE

The user license of an article determines how readers can share and use your article without the need to request permission. Before publishing open access, we recommend you to:

- Understand what each user license permits and the rights it grants to readers to use your article.
- Check if your funding body or institution requires the use of a specific license in their policy.
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User License	Read, print, download	Redistribute or republish the final article (e.g. display in a repository)	Text & data mine	Translate the article	Reuse portions or extracts from the article in other works	'Sell ' or re-use for "commercial purposes"
Commercial license: CC-BY 4.0	1	1	1	1	1	1
Non- commercial license: CC-BY-NC-ND 4.0	1	1	*for private use only and not for distribution	1	1	×

# Publishing green open access with Elsevier

#### WHAT IS GREEN OPEN ACCESS?

Green open access is the process of sharing a version of your subscription article online so that the public can read your research. This is often accomplished by linking to your article or by sharing the full-text which is sometimes known as manuscript posting or self-archiving. Linking can be done immediately to the final version of your article, but sharing the full-text of your article requires a bit more time and effort as you need to be mindful of when, where, and how this is done.

You are able to link or share full-text, but there are some advantages to linking to your articles:

- Maximize Research Impact citation metrics and altmetrics are used to assess the impact of research, so it is essential that these are aggregated and shared. This is easier when you link to the full text rather than have multiple versions across different platforms.
- Best Available Version It is also important that you share the best available version, so readers can trust they are reading the most up to date, correct and citable version of your work. When linking, this is often done dynamically by publishers sharing their holdings information with both repositories and search engines, so readers will automatically get the best available version.
- Article in Context Another benefit of linking is that readers can benefit from finding other relevant articles, saving them important time in discovering research. This can occur in the context of a repository, where they can see other research from your institution or from the publishing platform.
- More Efficient Linking is also easier for authors as there is no additional step to deposit the full text version. You can continue to promote your research through our share link service. After publication you'll receive a customized url which provides 50 days free access to your article, so you can promote it on all relevant platforms.

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You can share your research at each stage of the research process:

	Share
Presubmission	<b>Preprints<sup>1</sup> can be shared anywhere at any time.</b> <b>PLEASE NOTE:</b> Cell Press, The Lancet, and some society-owned titles have different preprint policies. Information on these is available on the journal homepage.
After acceptance	Accepted manuscripts <sup>2</sup> can be shared: • Privately with students or colleagues for their personal use. • Privately on institutional repositories. • On personal websites or blogs. • To refresh preprints on arXiv and RePEc. • Privately on commercial partner sites.
After publication	<ul> <li>Gold open access articles can be shared:</li> <li>Anytime, anywhere on non-commercial platforms.</li> <li>Via commercial platforms if the author has chosen a CC-BY license, or the platform has an agreement with us.</li> <li>Subscription articles can be shared:</li> <li>As a link anywhere at any time.</li> <li>Privately with students or colleagues for their personal use.</li> <li>Privately on commercial partner sites.</li> </ul>
After embargo	<ul> <li>Author manuscripts can be shared:</li> <li>Publicly on non-commercial platforms.</li> <li>Publicly on commercial partner sites.<sup>3</sup></li> </ul>

<sup>1</sup> Preprint is your initial write up of your results and analysis that has not yet have been peer reviewed or submitted to a journal.
 <sup>2</sup> Accepted manuscript is a version of your manuscript which typically includes any changes you have incorporated through the process of submission, peer review and in your communications with the editor.

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	For classroom teaching purposes	You can use your article for your own classroom teaching and internal training at your institution (including use in course packs and courseware).
	For grant applications	You can include your article for grant funding purposes.
	With my colleagues	You can easily share your article with your colleagues through email.
	On a preprint server	You can always post your preprint on a preprint server <sup>1</sup> . Additionally, for ArXiv and RePEC you can also immediately update this version with your accepted manuscript.
	On my personal blog or website	We recommend that you list all your publications and link back to the final version on ScienceDirect to make it easier for you to be cited. In addition to this you can also post your preprint or accepted manuscript and any gold open access articles on your non-commercial personal website or blog.
	On my institutional repository	You can post your accepted manuscript immediately to an institutional repository and make this publicly available after an embargo period <sup>2</sup> has expired. Remember that for gold open access articles, you can post your published journal article <sup>3</sup> and immediately make it publicly available.
	On a subject repository (or other non- commercial repository)	You can always post your preprint version and you can also post your accepted manuscript after the embargo period <sup>2</sup> has expired. Remember for gold open access articles, you can post the published journal article <sup>2</sup> immediately.
	On a Scholarly Collaboration Network (SCN), such as Mendeley or Scholar Universe	Services such as SCNs enable authors to showcase their work, providing fast and effective ways to collaborate and disseminate research. Elsevier and a number of SCNs are working together to help to showcase your work by sharing links to published journal articles on author profiles. We encourage authors to share their research responsibly on SCNs. You can share your preprint, article abstract or a link to your article. Additional sharing options may be available, see www.elsevier.com/sharingpolicy.
	Social Media, such as Facebook, LinkedIn, Twitter	Elsevier will send you a 'share link' <sup>4</sup> : a personal, customized short link that you will receive after final publication of your article. It provides 50 days free access to your newly-published article on ScienceDirect to anyone clicking on the link. We encourage you to share this link on social media. After 50 days the share link will still work but automatically revert to a link to your full text article.

<sup>1</sup> Please note that *Cell Press, The Lancet,* and some society-owned titles have different preprint policies. Information on these is available on the journal homepage.

<sup>2</sup> For the journal specific embargo period see the journal homepage.

3 Published journal article: After peer review, the journal will finalize your article which includes copy editing, formatting and adding branding. This is the version which is published online and final version of record for your article.

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Cell algebras Robert May ■ ⊞ Show more doi:10.1016/j.jalgebra.2014.11.015

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In order to share your full-text article for green open access, you will need to retain the preprint and accepted manuscript version of your article. After acceptance, we understand that this may not be possible in all cases and have a simple way for you to retrieve your accepted manuscript from our submission system.\*

- 1. Log into Elsevier Editorial system (EES) and on your overview page you will see under the "completed" section, click on the link to "submission with a decision"
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### Impact of open access policies

#### WHY IS IT IMPORTANT?

If your funding body or institution has a policy on public access to research, you will need to be both aware of and then comply with the policy. In some cases it may affect how your research is evaluated or your ability to attract research funding in the future.

#### IMPACT ON PUBLISHING

#### Selecting an appropriate journal

If you wish to comply with open access policies, you will need to understand what options are available in your chosen journal. We recommend you to:

- Read the journal Guide for Authors and look for both gold and green options.
- Look for funding body or institutional agreements with your publisher of choice, which will make compliance easier.
- Look on your institution's intranet for open access information and links.

#### Paying for gold open access

Your funding body or institution may have made funds available to cover associated gold open access fees. These can be either reimbursed to you directly from the funder or from a central fund held by the institution or paid directly to the publisher under a funding body or prepaid agreement. In other cases, your funding body may require researchers to use their grant funds to cover the open access fee. Elsevier has established a number of funding body and institutional agreements designed to make publishing gold open access easy for researchers. Please see: www.elsevier.com/fundingbodies

## How will your open access article appear?

After publishing your article gold open access, it is important to understand how it will be seen and found on ScienceDirect.

EBioMedicine         Volume 1, Isaues 2-3, December 2014, Pages 107-116         Open Access         Corganal Article         Human Kidney Disease-causing INF2 Mutations Perturb Rho/Dia Signaling in the Glomerulus         Human Kidney Disease-causing INF2 Mutations Perturb Rho/Dia Signaling in the Glomerulus         Lus Sun <sup>4,4,6</sup> , Khaldoun I, Al-Romalh <sup>4,4,6</sup> , Calum A, MacRae <sup>Acz,4</sup> , Martin R, Pollak <sup>4,4,6,4,6,4,6,4,6,4,6,4,6,4,6,4,6,4,6,4</sup>	<ol> <li>Open Access label         Your article will appear online with a label 'open access'. Your research will also be labelled in keyword search results as open access.     </li> </ol>
Biochimica et Biophysica Acta (BBA) - Lucus III Construction Cell Research         Jume 1812, Isue 4. April 2014, Priger 303-714         Discus III Construction Cell Research         March 1812, Isue 4. April 2014, Priger 303-714         Discus III Construction Cell Research         March 1812, Isue 4. April 2014, Priger 303-714         Discus III Construction Cell Cell Research         March 1814, Isue 4. April 2014, Priger 303-714         Discus III Construction Cell Cell Cell Cell Cell Cell Cell Cel	<ul> <li>2. Publication information</li> <li>Within your article, you will see an option for readers to find out more information about your publication by clicking the 'show more' label. This section contains details on:</li> <li>a Funding body information</li> <li>b User license information</li> </ul>

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#### 3. Finding your open access article

Your article will be found in exactly the same way as a normal article. In addition to this, readers who wish to filter their selection on open access can do so with the advance search functionality.

# Your check list for publishing open access

#### 1) SUBMISSION:

#### Check if your journal is reputable:

- $\hfill\square$  Look through the editorial board for established and known editors.
- □ View recent publications in the journal.
- □ Check for societies affiliated with the journal.

#### Check your open access options:

- □ Read your institution's and/or funding body's open access policy.
- □ Discuss your publication options with your co-authors.
- □ Read your journal's open access options in the Guide for Authors.

#### 2) ACCEPTANCE:

FOR GOLD OPEN ACCESS	FOR GREEN OPEN ACCESS
Indicate your intention to publish	□ Indicate your funding body information <sup>1</sup>
gold open access.	which may enable your publisher to help
Decide on a user license.	facilitate green open access options.
Indicate your funding body information*	
Pay an open access fee if applicable	

#### 3) PUBLICATION:

FOR GOLD OPEN ACCESS	FOR GREEN OPEN ACCESS
Share your final published open access article.	<ul> <li>Share the share link to your article that provides 50 days free access.</li> <li>Check the embargo period for your journal on the journal homepage.</li> <li>Check your journal's policy on self-archiving.<sup>2</sup></li> </ul>

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